# SEC Form 4

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>LESAR DAVID J</u>				uer Name <b>and</b> Tick NTERPOINT	•	Symbol <u>Y INC</u> [ CNP ]		onship of Reporting Person(s) to Issuer all applicable) Director 10% Owner		
(Last) 1111 LOUISIA	(First)	(Middle)		e of Earliest Transa 3/2021	action (Month/	Day/Year)	X	Officer (give title below) Presider	Other below	(specify )
(Street) HOUSTON TX 77002		4. If A	mendment, Date o	f Original Filec	I (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Grou Form filed by On			
(City)	(State)	(Zip)						Form filed by Mo Person		
		Table I - Non	-Derivative S	ecurities Acq	uired, Dis	posed of, or Benef	icially	Owned		
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial	

Code V Amount (A) or (D) Price Reported Transaction(s) (instr. 3 and 4) (instr. 4)   Common Stock 02/18/2021 A 93,119 <sup>(1)</sup> A \$0.00 279,722 <sup>(2)</sup> D		(Month/Day/Year)	(Month/Day/Year)							(I) (Instr. 4)	Ownership
Common Stock 02/18/2021 A 93,119 <sup>(1)</sup> A \$0.00 279,722 <sup>(2)</sup> D				Code	v	Amount	(A) or (D)	Price	Transaction(s)		(1150.4)
	Common Stock	02/18/2021		A		93,119 <sup>(1)</sup>	A	\$0.00	279,722 <sup>(2)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

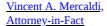
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv	r osed ) 7. 3, 4		(Month/Day/Year)		Expiration Date		e and int of rities rlying ative ative (Instr. 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

### Explanation of Responses:

1. Time-based restricted stock units ("RSUs") awarded under the Issuer's Long-term Incentive Plan (the "Plan") and vesting (i) in February 2024 if the Reporting Person continues to be an employee of Issuer from the grant date through the vesting date, (ii) in the event of his earlier disability or death, or (iii) on a pro-rata basis upon his earlier retirement unless he satisfies various conditions for full vesting, provided, however, that any such vesting is conditioned upon positive operating income in the last full calendar year of the restricted period except in the case of death or disability.

2. Total includes (i) 17,298 time-based RSUs previously awarded under the Plan and vesting in July 2021, (ii) 17,298 time-based RSUs previously awarded under the Plan and vesting in July 2022, and (iii) 17,298 time-based RSUs previously awarded under the Plan and vesting in July 2023. Reporting Person must continue to be an employee of Issuer from the grant date through the applicable vesting dates. Additionally, includes 109,289 time-based RSUs previously awarded under the Plan and vesting (a) in July 2023 if the Reporting Person continues to be an employee of Issuer from the grant date through the vesting date; (b) in the event of his earlier disability or death; or (c) on a pro-rata basis upon his earlier retirement unless he satisfies various conditions for full vesting.

### Remarks:



02/22/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.