SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response	: 0.5								

Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
		Table I - Nor	-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benef	icially	/ Owned		
(City)	(State)	(Zip)						Form filed by Mo Person	re than One Re	porting
(Street) HOUSTON	ТХ	77002	4. If A	mendment, Date of	Original Filed	l (Month/Day/Year)	6. Indi Line) X	vidual or Joint/Grou Form filed by On	e Reporting Per	son
(Last) 1111 LOUISIA	(First) ANA	(Middle)		e of Earliest Transa 5/2023	ction (Month/	Day/Year)	X	below)	ent and CAO)
1. Name and Add <u>Ryan Kara (</u>		•		uer Name and Ticke NTERPOINT		Symbol <u>Y INC</u> [CNP]	(Chec	ationship of Reporti k all applicable) Director Officer (give title	10% 0	
Instruction 1(b)).			nt to Section 16(a) o ction 30(h) of the In		es Exchange Act of 1934 npany Act of 1940		hours	per response:	0.5

		(monalized), really	, ,					Reported	(1) (1150. 4)	(Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	02/15/2023		A		1,995 ⁽¹⁾	A	\$ <mark>0</mark>	5,749 ⁽²⁾	D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											

			(e.g., pr	115, 00	ans, v	vaiie	anus,	options, t	,onvenus	ie se	cunties	27			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Time-based restricted stock units ("RSUs") awarded under the Issuer's Long-Term Incentive Plan (the "Plan") and vesting (i) in February 2026 if the Reporting Person ("R.P.") continues to be an employee of Issuer from the grant date through the vesting date, (ii) in the event of her earlier disability or death, or (iii) on a pro-rata basis upon her earlier retirement unless she satisfies various conditions for full vesting, provided, however, that any such vesting is conditioned upon positive operating income in the last full calendar year of the restricted period except in the case of death or disability.

2. Total includes (i) 707 time-based restricted stock units ("RSUs") previously awarded under the Issuer's Long-term Incentive Plan (the "Plan") and vesting in February 2023, (ii) 848 RSUs previously awarded under the Plan and vesting in February 2024, and (iii) 1,255 RSUs previously awarded under the Plan and vesting in February 2025. The above awards shall vest (a) if the R.P. continues to be an employee of Issuer from grant date through vesting date and (b) in the event of her disability or death. However, for shares vesting in February 2024 and 2025, any such vesting is conditioned upon positive operating income in the last full calendar year of the restricted period except in the case of death or disability.

Remarks:

Vincent A. Mercaldi,

Attorney-in-Fact

02/17/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See