| SEC Form | 4 |
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number:         | 3235-0287 |
|---------------------|-----------|
| Estimated average t | ourden    |
| hours per response: | 0.5       |

Plan<sup>(4)</sup>

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| Check this box if no longer subject<br>to Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |    | pursuant to Section 10<br>or Section 30(h) of th |
|--|----|--|
| 1. Name and Address of Reporting Person  | n* | 2. Issuer Name and                               |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

iled pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br><u>Colvin Kristie</u> |                |                                    | 2. Issuer Name and Ticker or Trading Symbol<br><u>CENTERPOINT ENERGY INC</u> [ CNP ] |  |   |       |       |           |               | ationship of Reporting Person(s) to Issuer<br>( all applicable)<br>Director 10% Owner |   |   |               |  |
|---|----------------|------------------------------------|--|--|---|-------|-------|-----------|---------------|---|---|---|---------------|--|
| (Last)<br>1111 LOUISIA  | (First)<br>ANA | (Middle)                           |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/20/2021 |   |       |       |           |               | - x   | Officer (give title Other (specify below) SVP and CAO             |   |               |  |
| (Street)<br>HOUSTON<br>(City)   | TX<br>(State)  | 77002<br>(Zip)                     |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)       |   |       |       |           |               | 6. Indi<br>Line)<br>X   | ,   |   |               |  |
|   |                | Table I - No                       | n-Derivat  | tive S   | ecurities Acq                                       | uired | , Dis | posed of, | or Ber        | neficially  | y Owned   |   |               |  |
| Date  |                | 2. Transacti<br>Date<br>(Month/Day |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)    | 3.4. SecuritiesTransactionDisposed OfCode (Instr.5) |       |       |           |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following                         | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |               |  |
|   |                |                                    |  |  |   | Code  | v     | Amount    | (A) or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                    |   | (Instr. 4)    |  |
| Common Stoc   | ĸ              |                                    | 02/20/2  | 021  |   | F     |       | 862(1)    | D             | \$21.43   | 76,156 <sup>(2)(3)</sup>  | D   |               |  |
| Common Stoc   | x              |                                    |  |  |   |       |       |           |               |   | 51  | I   | By<br>Savings |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Disp<br>of (D<br>(Inst | of Expiration Date //<br>Derivative (Month/Day/Year)<br>Securities<br>Acquired<br>A) or Disposed |                     | Deriv              | unt of<br>rities<br>rlying<br>ative<br>rity (Instr. | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownership<br>Form: | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|--|--|---------------------|--------------------|---|---|--|--------------------|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares              |  |                    |  |  |

Explanation of Responses:

Common Stock

1. Shares withheld for taxes upon vesting of time-based restricted stock units.

2. Total includes (i) 2,737 time-based restricted stock units ("RSUs") previously awarded under the Issuer's Long-term Incentive Plan (the "Plan") and vesting in February 2022, (ii) 4,980 RSUs previously awarded under the Plan and vesting in February 2023, and (iii) 4,472 RSUs previously awarded under the Plan and vesting in February 2024. The above awards shall vest (a) if the Reporting Person continues to be an employee of Issuer from grant date through vesting date, (b) in the event of her disability or death, and (c) for certain of the shares otherwise vesting in February 2023, in the event of her not previously awarded under the Plan and vesting in February 2024. The above awards shall vest (a) if the Reporting Person continues to be an employee of Issuer from grant date through vesting date, (b) in the event of her retirement unless the satisfies various conditions for full vesting. However, for shares vesting in February 2024, any such vesting is conditioned upon positive operating income in the last full calendar year of the restricted period except in the case of death or disability.

3. Additionally, includes (i) 6,863 RSUs previously awarded under the Plan and vesting in April 2023 and 5,449 RSUs previously awarded under the Plan and vesting in July 2023 if the Reporting Person continues to be an employee of Issuer from the grant date through the applicable vesting dates; (ii) in the event of her earlier disability or death; (iii) in the event of her involuntary termination without cause; or (iv) on a pro-rata basis upon her earlier retirement unless she satisfies various conditions for full vesting.

4. Equivalent shares held in CenterPoint Energy, Inc. Savings Plan.

**Remarks:** 

Vincent A. Mercaldi,

Attorney-in-Fact

02/23/2021

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\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.