SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response	: 0.5								

1. Title of Securi	ty (Instr. 3)	Dat	Transaction te onth/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr			5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial
		Table I - Non-D	Derivative S	ecurities Acq	uired, Dis	posed of, or Benef	icially	Owned		
(City)	(State)	(Zip)						Form filed by Mo Person	re than One Re	porting
(Street) HOUSTON	TX	77002	4. If A	mendment, Date o	f Original Filed	d (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Grou Form filed by On	e Reporting Per	son
(Last) 1111 LOUISIA	(First) ANA	(Middle)	02/18	3/2022					ief HR Office	
Harkel-Rumford Lynne Louise				e of Earliest Trans		<u>Y INC</u> [CNP]	(Check	all applicable) Director Officer (give title below)	10% (Other below	(specify
1. Name and Add	ress of Reporting	Person [*]		uer Name and Tick				ationship of Reporti	ng Person(s) to	Issuer
Instruction 1(b)).					es Exchange Act of 1934 npany Act of 1940		Luours	per response:	0.5

	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)	Instr.	5)				(D) or Indirect (I) (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	02/18/2022		F		385 ⁽¹⁾	D	\$26.61	42,702 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Ex		6. Date Exerc Expiration Da (Month/Day/N	Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares withheld for taxes upon vesting of time-based restricted stock units.

2. Total includes (i) 4,202 time-based restricted stock units ("RSUs") previously awarded under the Issuer's Long-Term Incentive Plan (the "Plan") and vesting in February 2023, (ii) 6,904 RSUs previously awarded under the Plan and vesting in February 2024, and (iii) 6,206 RSUs previously awarded under the Plan and vesting in February 2025. The above awards shall vest (a) if the Reporting Person continues to be an employee of Issuer from grant date through vesting date and (b) in the event of her disability or death. Also, the above awards shall vest on a pro-rata basis in the event of her retirement unless she satisfies various conditions for full vesting. However, for shares vesting in February 2024 and February 2025, any such vesting is conditioned upon positive operating income in the last full calendar year of the restricted period except in the case of death or disability.

Remarks:

Vincent A. Mercaldi, Attorney-in-Fact

02/22/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See