SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number: 3235-0287										
Estimated average burden										
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				ant to Section 16(a)		Luours	s per response:	0.5			
			or Se	ection 30(h) of the II	ivestment Col	mpany Act of 1940					
1. Name and Address of Reporting Person [*] LESAR DAVID J				suer Name and Tick		Symbol Y INC [CNP]		ationship of Reporti k all applicable)	Reporting Person(s) to Issuer		
LESAR DA	<u>VID J</u>						X	Director	10% 0	Owner	
(Last)	(First)	(Middle)		ate of Earliest Trans	action (Month	/Day/Year)	X	Officer (give title below)	Other below	(specify)	
1111 LOUISIA	ANA	. ,	05/1	.3/2021				Preside	nt & CEO		
(Street)			4. If A	Amendment, Date o	f Original File	d (Month/Day/Year)	6. Indi Line)	vidual or Joint/Grou	up Filing (Check	Applicable	
HOUSTON	TX	77002					X	Form filed by Or	ne Reporting Per	rson	
(City)	(State)	(Zip)						Form filed by Mo Person	ore than One Re	porting	
		Table I - Non	-Derivative S	Securities Acq	uired, Dis	posed of, or Benet	ficially	v Owned			
1. Title of Securit	1. Title of Security (Instr. 3) 2. Transac				3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3		5. Amount of Securities	6. Ownership	7. Nature	

	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)		5)	(2) (o, . and	Beneficially Owned Following Reported	(I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	05/13/2021		Р		50,000	Α	\$23.58	329,722 ⁽¹⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

												•		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	5. Number of 6. Date Exercisable and Expiration Date (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date Amount of			8. Price of Derivative Security (Instr. 5)	vivative derivative curity Securities	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. Includes (i) 17,298 time-based restricted stock units ("RSUs") awarded under Issuer's Long-term Incentive Plan ("Plan") and vesting in July 2021, (ii) 17,298 RSUs awarded under Plan and vesting in July 2022, and (iii) 17,298 RSUs awarded under Plan and vesting in July 2023. Reporting Person ("R.P.") must remain an employee thru vesting dates. Also includes 109,289 RSUs awarded under Plan and vesting (a) in July 2023 if R.P. remains an employee thru vesting date; (b) upon earlier disability or death; or (c) on a pro-rata basis upon earlier retirement unless full vesting conditions satisfied. Also includes 93,119 RSUs awarded under Plan and vesting (i) in February 2024 if R.P. remains an employee thru vesting date, (ii) upon earlier disability or death, or (iii) on a pro-rata basis upon earlier retirement unless full vesting conditions satisfied; provided, vesting is conditioned on positive operating income in last full year of restricted period except in case of death or disability.

Remarks:

Vincent A. Mercaldi, Attorney-in-Fact

05/13/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See